

<u>SUBJECT</u>	<u>OUTLINE</u>	<u>STATUS</u>	<u>ASSURANCE</u>
Performance Management		Draft report issued currently with Head of Audit Cotswolds	
Governance Compliance – Members Allowances		Draft report being updated following Management review	Satisfactory
Data Protection & Control of Data		Final	Satisfactory
Contract Management - SLM		Draft report being updated following Management review	
Contract Management - Ubico		In progress	
CORE GOVERNANCE			
Annual Governance Statement	Support and review of the AGS	Complete	Satisfactory
Risk Management – Income Streams	Review of income streams, eg Car Parking, Building Control etc	Draft Report issued – waiting for Management response	
Performance Management	Focus on performance of projects and programmes and in particular the role and responsibilities of CMT and Cabinet.	In progress	
Governance Compliance	HR policy application by service managers: <ul style="list-style-type: none"> • Recruitment & Selection including induction • Capability, Grievance and Disciplinary • Training schemes 	Draft Report issued – waiting for Management response	
ICT	Application audits for key services ICT shared service support and review		

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CORE FINANCIALS			
NNDR	Year 2 module of 3 year programme	In progress	
Benefits	Year 2 module of 3 year programme	In progress	
Council Tax	Year 2 module of 3 year programme	In progress	
GOSS - Finance	Review on: <ul style="list-style-type: none"> • Accounts Receivable • Main Accounting • Treasury Management and Bank Reconciliation • Payroll • Accounts Payable (Transactional Testing) 	In progress Final Final Final Report being drafted Final	High High High Satisfactory
GOSS - HR	Review on: <ul style="list-style-type: none"> • Absence Recording • Staff Allowances • Shared Service Allowance • Job Evaluation Process 	Draft Memos being updated following Management review	
GOSS – Procurement, Insurance, Health & Safety		To commence	
RISK BASED			
S106 agreements	Review of S106 agreements systems and processes	Draft Report issued – Waiting Management response	
Business Continuity Management	Overall plans, service plans and service manager engagement	In Progress	

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Accommodation and property management	Review of strategy and property management	In Progress	
Security	Review of buildings and personnel security	In Progress	
Audit Committee Effectiveness	Review of Audit Committee against appropriate guidance and standards to aid in the development of a training programme	Workshop to be conducted with Audit Committee	
Contract management	Review of key contracts including tender processes. Plus review of contractor use	Draft Report with IA Management for Review	
Flood Works	Audit of the spend on flood works	Complete	No Assurance
Freedom of Information	Follow-up of 2013/14 audit	Draft Report issued – Waiting Management response	
Disabled Facilities Grant	Audit of Disabled Facilities Grants	Delayed due to long term absence of the Head of Audit Cotswolds	
CONSULTANCY			
Public Protection project support	Support and on-going advice regarding the Public Protection project	On-going	
20:20 vision	Support and on-going advice regarding the 20:20 project	On-going	
Other change projects	Support for other projects	N/A	
Other Audit Work			
Management	Audit Committee, governance and risk groups, high level programmes, etc.	N/A	
Follow-ups	Assessment of	N/A	

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	recommendation implementation		
Contingency	Operational contingency	N/A	

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